

Finding a Factor Fit in a Changing Interest Rate Environment



Brent Kopp, Moderator
Senior Director, Central Region, Wealth Management Channel
S&P Dow Jones Indices

Brent is the Central Region Senior Director for the Wealth Management Channel team at S&P Dow Jones Indices (S&P DJI). He is responsible for educating wealth managers on benefits and strategies for index-based investing through ETFs. His specific duties involve frequent and direct client contact through educational forums, webinars, and partner-sponsored events, and more focused delivery of S&P DJI research and content.

Brent has over 20 years of industry experience in the distribution of retail investment products across the full spectrum of client channels. He has most recently served in senior sales roles with responsibility for the distribution of mutual funds and tactical ETF strategies throughout the Midwest. His previous roles also include experience as a financial advisor and as a research analyst for a major broker-dealer.

Brent has a master's degree from the University of Notre Dame's Mendoza School of Business and a bachelor's degree from the University of Iowa. Brent and his wife Kristin live in Chicago and enjoy golfing, tennis, and attending sporting events and concerts.



Joseph P. Castiglie III, CFA
Chief Operating Officer, Chief Information Officer
Sykon Capital

Beginning a career on Wall Street amid a global financial crisis can offer opportunities for growth and plenty of perspective. For Joe, it confirmed his passion for finance and markets.

Working in equity derivatives at a major investment bank in New York, Joe's understanding of risk, liquidity, portfolio management, and capital preservation were all shaped in a profound way, revealing that often the most important asset in challenging times is trust.

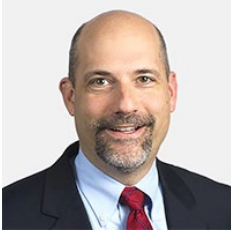
Looking to innovate and improve portfolio management for investors, Joe seized an opportunity in 2014 to begin working with Steve Ruvituso, Todd Stankiewicz and Julie Ackerman. Together, they set out to rewrite the standards of wealth and asset management with the belief that clients deserve better. This dedication to a higher standard led Joe to pursue the Chartered Financial Analyst® designation, to further his financial education and commitment to fiduciary responsibility.

In his role as COO and CIO, Joe is driven to deliver a seamless client experience, both operationally and with respect to our investments and strategies. Joe continues to draw upon the experiences at the start of his career, as he strives to innovate and improve SYKON's processes to deliver for our clients and their families.

Joe earned his bachelor's degree in business administration with a concentration in finance and management from the University at Albany, State University of New York.

Joe is active in the alumni associations of Chaminade High School and the University at Albany and is a regular member of the CFA Institute® and New York CFA® Society.

When he is not working, Joe enjoys spending time with his wife, Maria, and their three young sons at their home in Oyster Bay, New York, as well as the occasional round of golf with friends.



Nick Kalivas
Senior Equity Product Strategist
Invesco

Nick Kalivas is a Senior Equity Product Strategist for Invesco's exchange-traded funds (ETFs). In his role, Mr. Kalivas works on researching and developing product-specific strategies, as well as creating thought leadership to position and promote the smart beta and factor-based equity ETF lineup.

Prior to joining Invesco, Mr. Kalivas spent the majority of his career in the futures industry, delivering research, strategy and market intelligence to institutional and high-net-worth clients in the equity and interest rate markets. He was a featured contributor for the Chicago Mercantile Exchange and provided research services to a New York-based global macro commodity trading advisor where he supplied insight on equities, fixed income, foreign exchange and commodities. Mr. Kalivas has been quoted in The Wall Street Journal, Financial Times, Reuters, The New York Times and by the Associated Press, and has made numerous appearances on CNBC and Bloomberg.

Mr. Kalivas earned a BBA in accounting and finance from the University of Wisconsin-Madison and an MBA from the University of Chicago, Booth School of Business with concentrations in economics, finance, and statistics. He holds the Series 7 and Series 63 registrations.



Rupert Watts, CFA, CAIA
Head of Factors and Dividends, Product Management
S&P Dow Jones Indices

Rupert Watts is Head of Factors and Dividends Product Management at S&P Dow Jones Indices. His field focuses on alternate beta strategies, including factor-based indices, dividends and quantitative strategies. In his role, Rupert works closely with the Sales, Marketing and Global Research & Design departments to bring new ideas to market.

Prior to his current role, Rupert worked at Deutsche Bank for almost 11 years, with the majority of this time dedicated to research and product development within quantitative investment strategies (QIS), with stints on the buy side and sell side. While in QIS, Rupert developed factor-based strategies across multiple asset classes and managed portfolio mandates for institutional clients.

Rupert is originally from the United Kingdom and earned a bachelor's degree in business and finance from the University of the West of England, Bristol. Rupert is both a Certified Financial Analyst (CFA) and a Certified Alternative Investment Analyst (CAIA).