S&P Dow Jones Indices

A Division of S&P Global

Exploring the Role of ESG in Strategic Asset Allocation

Jason Chen

Research Analyst
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Jason is a member of the DWS Research Institute. Prior to joining the firm, he was a Portfolio Manager at JP Morgan where he was responsible for $70 billion in assets for the Chase Strategic Portfolios and JP Morgan Core Advisory Programs, which are discretionary asset allocation programs. His responsibilities included investment strategy, tactical asset allocation, portfolio construction, and investment implementation. Previously, he held investment research roles at JP Morgan in Multi-Asset and Fixed Income. He has a Bachelor's Degree in Economics from Temple University.

Margaret Dorn

Senior Director, ESG Client Engagement, North America
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Maggie is Senior Director, Environmental, Social, and Governance (ESG) Client Engagement, North America at S&P Dow Jones Indices (S&P DJI). In her role, she assists in the strategy for developing ESG indices for the North American market, from best-in-class approaches like the world-renowned Dow Jones Sustainability Index to broad-market ESG alternatives and core solutions like the S&P 500® ESG Index. She also serves as a global spokesperson for S&P DJI's ESG Indices, educating the market on the values of ESG investing and our industry-leading ESG lineup.

Prior to joining the ESG team, Maggie was a part of the S&P DJI Financial Advisor Channel team, where she was responsible for educating financial advisors on benefits and strategies for index-based investing through ETFs. Previously, Maggie was the Western U.S. ETF Specialist for American Century Investments. In this role, she was responsible for the education, implementation, and sales of ETFs. Maggie was also director of strategic accounts with Milliman Financial Risk Management in Chicago. She has spent much of her career working with start-up ETF providers and established asset managers looking to enter the ETF space, including Invesco, ETF Securities, and Northern Trust FlexShares.

Maggie holds a bachelor's degree in business administration from Miami University in Oxford, Ohio.

Victor Orozco, CSRIC™
Managing Partner
Bair Financial Planning

Victor's zeal for solving puzzles and creating relationships give him the fundamental tools to fulfill Bair Financial Planning's mission: "We create trusted relationships, allowing our clients to shift the burden of financial matters to us and regain time for their passions." It is with this same zeal that Victor and the Bair team help clients align their wealth with their values through sustainable investing and, to be allies to the LGBTQ+ community.

Victor sits on The Wealth Consulting Group's Investment Strategy Committee (ISC), with a focus on the High Impact Portfolios (HIP). The HIP Portfolios consider Environmental, Social and Governance factors in addition to financial due diligence when evaluating options.

You can find Victor, his wife, Bethel, and their daughters in their neighborhood of Little Italy (San Diego). Need a recommendation to a restaurant or a great vendor at the Farmer's market in that neighborhood? They are your people. They enjoy taking their daughters to the beach and the park. When it comes to their sports teams the couple do not always see eye to eye. Bethel cheers on all teams Boston. Victor roots on the Los Angeles Dodgers, New Orleans Saints, and of course his San Diego State Aztecs!

Brie Williams
Head of Practice Management
State Street Global Advisors

Brie Williams is Vice President of State Street Global Advisors and Head of Practice Management for the Global SPDR Business. She is responsible for engaging with clients to deliver the strategic and tactical consulting they need to take action. Brie is also the Americas ETF ESG Champion to advance the integration of SPDR ESG solutions with clients and partners.

Brie and her Practice Management team are recognized for their award-winning work that focuses on improving the financial advisor and consumer experience. She guides wealth managers worldwide to make strong strategic choices that will enable them to adapt and thrive in a changing market. Brie is the author of several articles related to wealth management practices, a contributor to the financial news media, and a highly regarded speaker at industry events. She was recognized by ThinkAdvisor as a Women in Wealth Management Trailblazer in 2020.

Prior to joining State Street Global Advisors, Brie was a Senior Vice President at Putnam Investments where she was responsible for their global brand strategy and building go-tomarket strategies for the U.S. retail mutual fund business. Her professional background includes a career in advertising and consumer research, collaborating with brands that we all know and love, including Frito-Lay, McDonald's, and Target. Her work on Vonage earned her a Bronze Effie Award.

Brie received her Master of Arts degree from Emerson College and her Bachelor's degree from Southern Methodist University. She holds the FINRA Series 7 and 63 licenses. Brie is a member of the Professional Women's Network at State Street and represents Women in ETFs on their Speaker's Bureau. She also serves as an advisory board member for ESI ThoughtLab, a thought leadership firm.